| Test Certification Form for &lt;System Name&gt; | | | | | | |
|---|---|---|---|---|---|---|
| **Function**<br><br>**Responsible Individual** | **User Functional** | **Backup and Recovery** | **Connectivity** | **Security** | **Interoperability** | **Performance** |
| **User** | | | | | | |
| **DBA** | | | | | | |
| **SA** | | | | | | |
| **Security Manager** | | | | | | |
| **Other (please define)** | | | | | | |
| **Other (please define)** | | | | | | |

**Application Management** _____

**Configuration Management** _____

**Informix Upgrade Management** _____

Attachment 4-1

Instructions for completing the Test Certification form:

Enter the System/Application name at the top of the table.

The certification matrix must be signed off by all the stakeholders shown in the left most column for each applicable category. If the individual is not responsible for testing a function, enter "N/A" in that column. For example, the User of a particular application may test the user functions, some security aspects, interoperability and some performance aspects, but may not be responsible for testing backup and recovery and connectivity:

| Function<br><br>Responsible Individual | User Functional | Backup and Recovery | Connectivity | Security | Interoperability | Performance |
|---|---|---|---|---|---|---|
| User <Name> | <User Initials> | N/A | N/A | <User Initials> | <User Initials> | <User Initials> |

If there are other individuals that need to sign off on the testing, enter their name and responsibility where "Other (please define)" appears. Additional rows may be added if needed.

The appropriate Security Manager for the level of the application must sign, verifying that the application continues to meet security requirements when running under the Informix upgrade.

After the matrix has been completed, Application Management (System Owner, Project Manager, etc., to be determined by each application) certifies that testing has been completed and sends the completed form to National Configuration Management Board.